Ancient Solutions to Geometric Flows

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Geometric PDE Zoom Seminar

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The result follows from the Cheng–Yau gradient estimate: If (M, g) satisfies $\operatorname{Rc} \geq -Kg$, K > 0, and $u \in C^{\infty}(B_r(x))$ is a positive harmonic function, then

$$\max_{B_{r/2}(x)} \frac{|\nabla u|}{u} \leq c_n \left(r^{-1} + \sqrt{K} \right).$$

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Similar methods were later used by Li–Yau and Hamilton to obtain differential Harnack inequalities for parabolic equations.

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There are counterexamples in dimensions 8 and higher [SIMONS, BONBIERI-DE GIORGI-GIUSTI].

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Here, diffusion acts "forwards in time", so there should exist few solutions defined for $t \in (-\infty, T)$. I.e. ancient solutions.

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Proof: Again based on sharp gradient estimates (cf. Liouville's theorem): If $u \in C^{\infty}(P_r(x, t))$ is a non-negative solution to the heat equation, then

$$\frac{|\nabla u(x,t)|}{u(x,t)} \le c_n \left(r^{-1} + \sqrt{K}\right) \left(1 + \log\left(\frac{\sup_{P_r(x,t)} u}{u(x,t)}\right)\right) \quad \text{in} \quad P_{r/2}(x,t)$$

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Similar results can be obtained in the presence of appropriate boundary conditions via the modulus of continuity estimates of $\rm CLUTTERBUCK.$

The point here is that the modulus of continuity

$$\omega(s,t) := \sup\left\{\frac{u(x,t)-u(y,t)}{2}: \frac{d(x,y)}{2} = s\right\}$$

of a solution to the heat equation is a subsolution to the one-dimensional heat equation (with induced boundary conditions).

Consider now solutions $u : \mathbb{R}^n \times (\alpha, \omega) \to \mathbb{R}$ to the semi-linear heat equation

$$\partial_t u = \Delta u + |u|^{p-1} u$$

for subcritical exponents 1 .

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Theorem (MERLE–ZAAG) Let $u : \mathbb{R}^n \times (-\infty, \omega) \to \mathbb{R}$ be a positive ancient solution to the semi-linear heat equation. If

$$u(x,t) \leq O((\omega-t)^{-\frac{1}{p-1}}),$$

then

$$u(x,t) = 0$$
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Proof is based on the analysis of a Lyapunov functional.

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A family $\{\mathcal{M}^n_t\}_{t\in I}$ of hypersurfaces $\mathcal{M}^n_t\subset \mathbb{R}^{n+1}$ satisfies mean curvature flow if

$$\partial_t X(x,t) = \vec{H}(x,t)$$

for some parametrization $X : M^n \times I \to \mathbb{R}^{n+1}$, where $\vec{H}(\cdot, t) = \operatorname{div}(DX(\cdot, t))$ is the **mean curvature vector** of \mathcal{M}_t^n .

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If the time-slices \mathcal{M}_t^n are mean convex boundaries, $\mathcal{M}_t^n = \partial \Omega_t$, then, equivalently, the **arrival time** $u : \bigcup_{t \in I} \mathcal{M}_t \to \mathbb{R}$ defined by

$$u(X) = t \iff X \in \mathcal{M}_t^n$$

satisfies the level set flow:

$$-|Du|\operatorname{div}\left(\frac{Du}{|Du|}\right)=1$$

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Indeed, suppose that $\lambda_j := |A_{(p_j, t_j)}| \to \infty$ as $j \to \infty$ for $p_j \in \mathcal{M}_{t_j}$, $t_j \in I$, and consider the rescaled flows

$$\mathcal{M}_t^j := \lambda_j (\mathcal{M}_{\lambda^{-2}t+t_j} - p_j), \ \ ext{for} \ \ t \in \lambda_j^2 I - t_j \,.$$

If the sequence converges, then it will converge to an ancient solution since $\lambda_j^2 I - t_j \rightarrow (-\infty, \infty)$.

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Prototypical examples are the **shrinking sphere**, $\{S_{\sqrt{-2nt}}^n\}_{t<0}$, and the **shrinking cylinders** $\{\mathbb{R}^k \times S_{\sqrt{-2(n-k)t}}^{n-k}\}_{t<0}, k \in \{0, \dots, n\}.$

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Theorem [HASLHOFER-HERSHKOVITS, HUISKEN-SINESTRARI, X.-J. WANG] The shrinking sphere is unique amongst ancient solutions satisfying mild geometric hypotheses (such as uniform pinching).

See also [Bryan-Louie, Bryan-Ivaki-Scheuer, K. Choi-Mantoulidis, Huisken-Sinestrari, Lambert-Lotay-Schulze, L., L.-Lynch, Lynch-Nguyen, Risa-Sinestrari, Sonnanburg]

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$$\frac{d}{dt}\int_{\mathcal{M}_t^n}(-4\pi t)^{-\frac{n}{2}}{\rm e}^{-\frac{|X|^2}{-4t}}dX\leq -\int_{\mathcal{M}_t^n}\left|\vec{H}+\frac{X^{\perp}}{-2t}\right|^2(-4\pi t)^{-\frac{n}{2}}{\rm e}^{-\frac{|X|^2}{-4t}}dX\,.$$

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The inequality is strict unless $\{\mathcal{M}_t^n\}_{t \in (\alpha,0)}$ is a shrinking solution:

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For ancient solutions,

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with strict inequality unless $\{\mathcal{M}_t^n\}_{t \in (-\infty,\omega)}$ is a translating solution:

 $\mathcal{M}_t = \mathcal{M}_0 + te, \ t \in \mathbb{R} \text{ for some } e \in \mathbb{R}^{n+1}.$

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Shrinking solutions

For each pair of relatively prime (p,q) satisfying $\frac{1}{2} < \frac{p}{q} < \frac{\sqrt{2}}{2}$, there is a closed self-shrinking solution to curve shortening flow $\{\Gamma_t^{p,q}\}_{t\in(-\infty,0)}$ such that $\Gamma_{-1}^{p,q}$ has rotation index p, lies in an annulus about the origin, and touches each boundary of the annulus q times.

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Theorem [COLDING–MINICOZZI, HUISKEN] The shrinking cylinders $\mathbb{R}^m \times S_{\sqrt{-2(n-m)t}}^{n-m}$ and the Abresch–Langer cylinders $\mathbb{R}^{n-1} \times \Gamma_t^{p,q}$ are the only properly immersed, mean convex shrinkers with finite Gaussian area.

Many further examples which are not mean convex are known via a variety of methods [Angenent, Drugan, Kapouleas, Ketover, Kleene, McGrath, Moller, Nguyen]

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Open question: Is Angenent's torus the only compact, embedded, genus 1 shrinker in \mathbb{R}^3 ?

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Figure: Snapshots of the Grim Reaper (with bulk velocity $v = e_1$).

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Proof is based on the analysis of a certain Lyapunov functional.

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Proof idea: The monotonicity formula can be used to show that the **blow-down** $\lim_{\lambda\to 0} {\lambda \mathcal{M}_{\lambda^{-2}t}}_{t<0}$ is always a shrinking cylinder ${\mathbb{R}^k \times S_{\sqrt{-2(n-k)t}}^{n-k}}_{t<0}$, $k \in {0, ..., n}$ (interpreted as a stationary hyperplane of multiplicity either **one** or **two** when k = n).

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- N.b. Some authors require ancient ovaloids to be noncollapsing.

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- An elementary enclosed area estimate implies that the scale of the limiting Grim Reapers is one.
- The Alexandrov reflection principle can then be used to show that the solution is either the Grim Reaper or the Angenent oval.



Figure: If the scale of the Grim Reaper forming at the tip is too small, its displacement, and hence also the enclosed area, is too large, since

$$rac{d}{dt}\operatorname{Area}(t) = -\int_A^B \kappa \, ds_t \quad \Longrightarrow \quad \operatorname{Area}(t) \lesssim -\pi t$$

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- The Reapernoid [MRAMOR-PAYNE]: explicit non-compact examples evolving out of catenoids (and certain other minimal hypersurfaces).

Theorem (WHITE, X.-J. WANG, HASLHOFER–HERSHKOVITS) For each $k \in \{1, ..., n - 1\}$, there exists an $O(k) \times O(n - k + 1)$ -symmetric ancient ovaloid $\{\mathcal{O}_t^{n,k}\}_{t \in (-\infty,0)}$.

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Theorem (ANGENENT–DASKALOPOULOS–ŠEŠUM) $\{\mathcal{O}_t^{n,1}\}_{t \in (-\infty,0)}$ is the only ancient ovaloid in \mathbb{R}^{n+1} which is noncollapsing and (when $n \geq 3$) uniformly two-convex.



Figure: Snapshots of the ancient ovaloid of WHITE, X.-J. WANG, HASLHOFER-HERSHKOVITS and ANGENENT-DASKALOPOULOS-ŠEŠUM.

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Note that there can be no examples with $O(k) \times O(n-k)$ -symmetry when k > 1 (the shrinking cylinder is a barrier).



Figure: Snapshots of the rotationally symmetric ancient pancake.

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Remarks: 'Existence' is harder than for ovaloids, since 'scaling is not allowed'. Uniqueness is (hard, but) easier than for ovaloids since 'scaling is unnecessary' and (surprisingly) no analysis of the 'intermediate region' is needed.